# q-TRIGONAL KLEIN SURFACES

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#### ABSTRACT

In this paper q-trigonal Klein surfaces are introduced in a similar way to that of q-hyperelliptic surfaces. They are characterized by means of non-Euclidean crystallographic groups (NEC groups in short). As a consequence of this characterization, given a family of Klein surfaces (orientable or not) with topological genus  $g$  and  $k$  boundary components the admissible values for  $q$  are calculated. In particular, the families for which there is no admissible q or families with unique q are obtained.

#### **1. Introduction**

A Klein surface X is a surface equipped with a dianalytic structure. The modern study of Klein surfaces started with [1]. There is a Uniformization Theorem similar to that of Poincaré and Kobe for Riemann surfaces. A Klein surface  $X$ is the quotient  $\mathcal{D}/\Gamma$ , where  $\mathcal D$  is the hyperbolic plane and  $\Gamma$  is a surface NEC group.

In the last three decades the study of the automorphism groups of Klein surfaces has been an important research field. A reference book about Klein surfaces and NEC groups is [6] with a long list of references. Particular families of Klein surfaces have been studied very much, for example hyperelliptic surfaces.

A Klein surface X is said to be q-hyperelliptic if and only if it admits an automorphism of order two, such that the quotient  $X/<\phi>$  has algebraic genus

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q. When  $q = 0$  the surface is said to be hyperelliptic and its characterization, by means of NEC groups, is given in [4]. The case  $q = 1$  corresponds to elliptichyperelliptic surfaces [5]. The general case,  $q$ , is studied in [3] for planar surfaces and in [7] for orientable surfaces of genus 1.

A Klein surface X is said to be **cyclic trigonal** if and only if X admits an automorphism  $\phi$  of order three such that  $X/ $\phi$  > has algebraic genus 0. Cyclic$ trigonal Klein surfaces and their automorphism groups have been studied in [2].

In this work we introduce  $q$ -trigonal Klein surfaces. Such a surface X admits an automorphism  $\phi$  of order three, such that  $X/ $\phi$  is algebraic genus q. Let$ us denote by  $\mathcal{K}_{a,k}^{\pm}$  the family of Klein surfaces of topological genus g, k boundary components, orientable  $(+)$  or not  $(-)$ . For each family we characterize in Section 3 the q-trigonality by means of NEC groups and we calculate the admissible values of q. As a consequence of this characterization we answer the following questions: what families do not contain any q-trigonal surface and which ones admit a unique admissible value q?

In the next Section we give necessary preliminaries about NEC groups and Klein surfaces.

#### **2. Preliminaries**

An NEC group  $\Gamma$  is a discrete subgroup of isometries of the hyperbolic plane  $\mathcal D$ (including reversing-orientation isometries) with compact quotient  $\mathcal{D}/\Gamma$  [13]. The signature of  $\Gamma$  is the following symbol and it determines its algebraic structure [10]:

$$
(1) \qquad \sigma(\Gamma): (g; \pm; [m_1, \ldots, m_r], \{(n_{1,1}, \ldots, n_{1,s_1}), \ldots, (n_{k,1}, \ldots, n_{k,s_k})\}),
$$

where  $g, k \geq 0$ ,  $m_i, n_{i,j} \geq 2$  and every number is an integer. The quotient  $\mathcal{D}/\Gamma$ has topological genus g and k boundary components. The brackets  $(n_{i,1},\ldots,n_{i,s_i})$ are called cycle-periods and the numbers  $m_i$  and  $n_{i,j}$  are called proper peri**ods** and link periods, respectively. If  $r = 0$ ,  $k = 0$  or  $s_i = 0$ , we write in each respective case  $[-], \{-\}, (-)$ . Also, we write  $m_i^t, n_{i,j}^t$  or  $(-)^t$  when a period or a cycle-period is repeated t times.

The algebraic genus of  $\Gamma$  is  $p = \eta q + k - 1$ , where  $q = 2$  or 1 according to whether the sign in  $\sigma$  is '+' or '-'. The area of  $\Gamma$  is the area of any one fundamental region of  $\Gamma$ . It is denoted by  $|\Gamma|$  and it satisfies

$$
|\Gamma| = 2\pi \Big( \eta g + k - 2 + \sum_{i=1}^r (1 - 1/m_i) + \frac{1}{2} \sum_{i=1}^k \sum_{j=1}^{s_i} (1 - 1/n_{i,j}) \Big).
$$

An NEC group  $\Gamma$  with signature as (1) exists if and only if  $|\Gamma| > 0$  [14].

Let  $\Gamma$  be an NEC group with signature as (1).  $\Gamma$  is generated by  $\{x_i\}_{i=1,\dots,r}$ elliptic transformations,  ${e_i}_{i=1,...,k}$  hyperbolic transformations,  ${c_{i,j}}_{i=0,1,...,s}$ reflections and  $\{a_i, b_i\}_{i=1,\dots,q}$  hyperbolic transformations (if the sign is '+') or  ${d_i}_{i=1,\ldots,g}$  glide reflections (if the sign is '-'). The generators satisfy the following relations:

$$
x_i^{m_i} = 1,
$$
  
\n
$$
i = 1, ..., r,
$$
  
\n
$$
c_{i,j-1}^2 = c_{i,j}^2 = (c_{i,j-1}c_{i,j})^{n_{i,j}} = 1, \quad i = 1, ..., k, j = 1, ..., s_i,
$$
  
\n
$$
e_i^{-1}c_{i,0}e_ic_{i,s_i} = 1,
$$
  
\n
$$
\prod_{i=1}^r x_i \prod_{i=1}^k e_i \prod_{i=1}^g [a_ib_i] = 1
$$
 if the sign is '+',

or

$$
\prod_{i=1}^{r} x_i \prod_{i=1}^{k} e_i \prod_{i=1}^{g} d_i^2 = 1,
$$
 if the sign is '-'',

where  $[a_i b_i]$  denotes the commutator  $a_i b_i a_i^{-1} b_i^{-1}$ .

An NEC group with sign '+' in the signature and  $k = 0$  (hence  $g \ge 2$ ) is a Fuchsian group. An NEC group which is not a Fuchsian group is called a proper NEC group. The subgroup of all orientation preserving elements of a proper NEC group  $\Gamma$  is called the **canonical Fuchsian group** of  $\Gamma$  and denoted by  $\Gamma^+$ .

Let X be a Klein surface of topological genus q and  $k$  boundary components. Then by [12] there exists an NEC group  $\Gamma$  with signature

(2) 
$$
(g; \pm; [-], \{(-)^k\}),
$$

such that  $X = \mathcal{D}/\Gamma$ . In that case  $\Gamma$  is said to be a **surface** NEC group.

A Klein surface  $X = \mathcal{D}/\Gamma$  has a canonical double cover which is the Riemann surface  $X^+ = \mathcal{D}/\Gamma^+$ , whose topological genus is p, the algebraic genus of X.

If  $k = 0$  and sign '+', X is a classical Riemann surface; and if sign '-', X is a non-orientable Riemann surface.

G is a group of automorphisms of X with order N, if and only if there exists an NEC group  $\Lambda$  with  $\Gamma \lhd_N \Lambda$  such that  $G = \Lambda/\Gamma$  [11]. The automorphism group of X, Aut(X), is the quotient  $N_G(\Gamma)/\Gamma$ , where the group  $N_G(\Gamma)$  denotes the normalizer of  $\Gamma$  in the group G of isometries of D.

A set of positive integers  $\{n_1, n_2, \ldots, n_t\}$  satisfies the elimination property if

$$
lcm(n_1,\ldots,\widehat{n}_i,\ldots,n_t)=lcm(n_1,\ldots,n_t),
$$

for each  $i = 1, \ldots, t$ . Let  $\sigma$  be an NEC signature as (2) and N be an odd positive integer. Given another NEC signature  $\tau$ 

(3) 
$$
(g^*; \pm; [m_1, \ldots, m_r], \{(-)^{k^*}\}),
$$

we say that  $(\sigma, \tau)$  is an N-pair if there exist an NEC group  $\Lambda$  with signature  $\tau$ and an epimorphism  $\theta: \Lambda \to \mathbb{Z}_N$  whose kernel is an NEC group  $\Gamma$  with signature  $\sigma$ . We will need later the following result [6, Th. 3.1.2 and Th. 3.1.3].

THEOREM 1: The pair  $(\sigma, \tau)$  is an N-pair if and only if:

- (1) For each  $i = 1, \ldots, r$ ,  $m_i$  divides N, and  $sign(\sigma) = sign(\tau)$ .
- (2)  $|\Gamma| = N|\Lambda|$ .
- (3) There exist positive divisors  $l_1, \ldots, l_k$  of N such that

$$
(3.1) \ \ k = \sum_{j=1}^{k} N/l_j.
$$

(3.2) In the case '+' the set  $\{m_1, \ldots, m_r, l_1, \ldots, l_k\}$  has the elimination *property.* 

(4) 
$$
N = lcm(m_1, ..., m_r, l_1, ..., l_k)
$$
 if  $g^* = 0$  (case '+') or  $g^* = 1$  (case '-').

### 3. Characterization of the  $q$ -trigonality

*Definition 2:* (i) A Riemann (Klein) surface S of topological (algebraic) genus q (p) is called q-trigonal if and only if it admits an order three automorphism  $\phi$ such that the quotient  $S/ < \phi >$  has topological (algebraic) genus q.

(ii)  $\phi$  is called a *q*-trigonal automorphism of S.

The q-trigonality condition is expressed, by means of NEC groups, as follows:

PROPOSITION 3: A Klein surface  $X = \mathcal{D}/\Gamma$  of algebraic genus p is q -trigonal if and only if there exists an NEC group  $\Gamma^*$  of algebraic genus q such that  $\Gamma \lhd_3 \Gamma^*$ .

*Proof.* We only need consider the results about automorphism groups shown in the previous Section. By virtue of them, there exists a  $q$ -trigonal automorphism,  $\phi$ , of X if and only if there exists an NEC group  $\Gamma^*$  such that  $\langle \phi \rangle = \Gamma^* / \Gamma$ . Furthermore, since  $X/ < \phi \geq \mathcal{D}/\Gamma^*$  then the algebraic genus of  $\Gamma^*$  must be q. **|** 

COROLLARY 4: If a Klein surface  $X = \mathcal{D}/\Gamma$  is q-trigonal, then the canonical *Riemann surface*  $X^+ = \mathcal{D}/\Gamma^+$  *is q-trigonal.* 

*Proof:* Let  $\phi$  be a q-trigonal automorphism of X. Then there exists an NEC group  $\Gamma^*$  of algebraic genus q such that  $\langle \phi \rangle = \Gamma^* / \Gamma$ . If we consider the quotient of the canonical Fuchsian groups  $(\Gamma^*)^+/\Gamma^+ = \langle \phi^+ \rangle$ , we have  $\phi^+$  is a *q*-trigonal automorphism of  $X^+$ .

*Definition 5:* An NEC group  $\Gamma^*$ , in the above conditions, is called a *q*-trigonal group of X.

The following result was obtained in [8, Th. 1].

THEOREM 6: Let S be a q-trigonal Riemann surface of genus g. Let  $\phi, \psi$  be *q-trigonal automorphisms of S. If*  $g > 9q + 4$  *then*  $\phi = \psi$  *or*  $\phi = \psi^{-1}$ *.* 

COROLLARY 7: Let  $X = \mathcal{D}/\Gamma$  be a *q*-trigonal Klein surface of algebraic genus *p*. If  $p > 9q + 4$  then  $\Gamma^*$ , the q-trigonal group of X, is unique.

*Proof:* Suppose  $\Gamma_1$  and  $\Gamma_2$  are q-trigonal groups of X. Then  $\Gamma_1^+/\Gamma^+ = \langle \phi \rangle$  and  $\Gamma_2^+/\Gamma^+ = \langle \psi \rangle$ , where  $\phi$  and  $\psi$  are q-trigonal automorphisms of the canonical Riemann surface  $X^+ = \mathcal{D}/\Gamma^+$ . The topological genus of  $X^+$  is p, so we can apply the preceding Theorem to obtain  $\langle \phi \rangle = \langle \psi \rangle$  and so  $\Gamma_1^+ = \Gamma_2^+$ .

Let  $h \in \Gamma$  be an orientation reversing element; then  $h \in \Gamma_1$  and  $h \in \Gamma_2$ . So

$$
\Gamma_1 = \Gamma_1^+ \cup h\Gamma_1^+ = \Gamma_2^+ \cup h\Gamma_2^+ = \Gamma_2. \qquad \blacksquare
$$

PROPOSITION 8: Let X be a q-trigonal Klein surface of algebraic genus  $p \geq 2$ and  $k \geq 0$  boundary components. Then  $k - 3 \leq 3q \leq p + 2$ , where p and q must *have the same parity.* 

*Proof:* Let  $X = \mathcal{D}/\Gamma$  where  $\Gamma$  has signature (2). Because X is q-trigonal there exists an NEC group  $\Gamma^*$  with signature (3) such that  $|\Gamma| = 3|\Gamma^*|$ ,  $m_i = 3$  and  $q = \eta g^* + k^* - 1$ . From the relation between areas we obtain

$$
(4) \hspace{3.1em} 3q + 2r = p + 2,
$$

and hence

$$
3q \le p+2.
$$

Moreover, because

$$
r = \frac{p+2-3q}{2},
$$

and  $r$  must be an integral number, we deduce that  $p$  and  $q$  have the same parity.

Let  $k_1$  be the number of boundary components such that  $\theta(e_i) = 1$ , and  $k_3$  be the number of boundary components such that  $\theta(e_i) = x$ , where  $\theta$  is the canonical

epimorphism  $\theta: \Gamma^* \to \mathbb{Z}_3 = \langle x : x^3 \rangle$ , with ker $(\theta) = \Gamma$ . Each one of the former gives three components, in  $\Gamma$  and each one of the latter gives one component, so that

$$
k^* = k_1 + k_3, \quad k = 3k_1 + k_3;
$$

then

$$
k \leq 3k^*
$$
  
= 3q + 3 - 3\eta g\*  

$$
\leq 3q + 3.
$$

Furthermore,  $k - k^* = 2k_1$ , and then k and  $k^*$  must have the same parity. в

From now on  $\Gamma^*$  will denote an NEC group with signature

(6)  $(g^*, \pm, [3^r], \{(-)^{k^*}\}\),$ 

where  $k^* = k_1 + k_3$  is defined as above,  $|\Gamma| = 3|\Gamma^*|$  and  $q = \eta g^* + k^* - 1$ .

LEMMA 9:  $\Gamma^*$  *is a q-trigonal group of*  $X = \mathcal{D}/\Gamma$  *if and only if*  $\Gamma$  *and*  $\Gamma^*$  *have the* same *orientability and* 

- (i) if  $\Gamma$  is orientable then  $r + k_3 \neq 1$ ,
- (ii) *if*  $g^* = 0$  then  $r + k_3 \ge 2$ ,
- (iii) if  $\Gamma$  is non-orientable and  $g^* = 1$  then  $r + k_3 \geq 1$ .

*Proof:*  $\Gamma^*$  is a q-trigonal group of X if and only if the pair  $(\sigma, \sigma^*)$  of signatures of  $\Gamma$  and  $\Gamma^*$  is a 3-pair. By Theorem 1,  $\Gamma$  and  $\Gamma^*$  must have the same orientability. Furthermore, in the orientable case the only thing to check is that the set  $\{m_1,\ldots,m_r,l_1,\ldots,l_{k^*}\}\$  has the elimination property. But, since  $m_i = 3, i = 1, \ldots, r; l_i = 1, i = 1, \ldots, k_1$ , and  $l_i = 3, i = k_1+1, \ldots, k^*$ , then a necessary and sufficient condition is  $r + k_3 \neq 1$ . Besides, in the case  $g^* = 0$ , the condition (4) in Theorem 1 is equivalent to  $r + k_3 \geq 2$ . In the non-orientable case the condition  $3 = lcm(m_1, \ldots, m_r, l_1, \ldots, l_{k^*})$  is equivalent to  $r + k_3 \geq 1$ .

From now on, given two integral numbers  $u, v$  we write  $par(u, v) = 0$  or  $par(u, v) = 1$ , according to whether u and v have the same or different parity.

For each  $p \geq 2$  we denote by  $Q_p$  the set of **admissible** values q, that is, the numbers  $q$  such that there exists a  $q$ -trigonal Klein surface with algebraic genus p. The set *Qp* is given in the following

THEOREM 10: The set of admissible values for each algebraic genus  $p \geq 2$  is

$$
Q_p = \{q_i \in \mathbb{N} \cup \{0\} \mid q_0 \le q_i \le q_1 \text{ and } par(p, q_i) = 0\},\
$$

	q0	q0	q0
$k \equiv 0 \mod 3$	$\max\{0, \frac{k-3}{3}\}\$	$rac{1}{3}(k+3)$	$\frac{\kappa}{3}$
$k \equiv 1 \mod 3$	$rac{1}{3}(k-1)$	$rac{1}{3}(k+5)$	$rac{1}{3}(k+2)$
$k \equiv 2 \mod 3$	$rac{1}{3}(k+1)$	$\frac{1}{3}(k+7)$	$rac{1}{3}(k+4)$
	if $sign +$	if sign $-$ and g even	if sign $-$ and g odd

where *qo is given in the following table:* 

and 
$$
q_1 = \begin{cases} \frac{1}{3}(p-1) & \text{if sign} + \text{and } g \equiv 2 \mod 3, k = 0 \text{ or } 1, \\ \frac{1}{3}(p+2) & \text{if otherwise.} \end{cases}
$$

*Proof:* Let  $\Gamma$  be a surface NEC group with signature (2), algebraic genus  $p =$  $\eta g + k - 1$  and  $\Gamma^*$  as in (6). The areas relation (4) can be written as

$$
\eta g + k + 1 = 3\eta g^* + 3k^* - 3 + 2r;
$$

then

(7) 
$$
k = 3k^* - B
$$
 where  $B = \eta g - 3\eta g^* + 4 - 2r$ .

As we saw in Proposition 8,  $k \leq 3k^*$  so  $B \geq 0$ . Thus  $g^*$  satisfies the condition

$$
(8) \t\t\t g^* \le \frac{\eta g + 4 - 2r}{3\eta}
$$

Let  $k_1$  and  $k_3$  be as in Proposition 8. Then

(9) 
$$
k_1 = \frac{k - k^*}{2} = k^* - \frac{B}{2}, \quad k_3 = \frac{B}{2}.
$$

So  $B$  must be an even integer. From (7) we have  $B$  is even if and only if  $\eta g - 3\eta g^*$  is even. That always occurs if  $\eta = 2$  (the orientable case), but if  $\eta = 1$ (the non-orientable case) a necessary condition is that g and  $g^*$  have the same parity. By Proposition 8

$$
\frac{k-3}{3}\leq q\leq \frac{1}{3}(p+2),
$$

and since  $par(p, q) = 0$  we can write

(10) 
$$
q = \frac{1}{3}(k+A) + 2l, \quad 0 \le l \le \frac{\eta g + 1 - A}{6},
$$

where  $A \ge -3$  is an integer which depends on k and the parity of g.

Let  $q = \frac{1}{3}(k + A) + 2l$  be an admissible value; then

(11) 
$$
\frac{1}{3}(k+A) + 2l = \eta g^* + k^* - 1,
$$

$$
k = 3\eta g^* + 3k^* - 3 - 6l - A.
$$

From (7) and (11)

(12)  $B = A + 3 + 6l - 3nq^*$ ,

and from (12) and (7) we obtain

(13) 
$$
r = \frac{\eta g + 1 - A - 6l}{2}.
$$

Our next aim is to find the smallest possible value  $q \in Q_p$ . For this, we see that for each possible value of  $A \geq -3$  the smallest value, denoted by  $q_A$ , is given for  $l=0$ , and so  $q_A = \frac{1}{3} (k + A)$ . Now, we proceed to get  $q_0$ :

CASE 1: If  $\Gamma$  is orientable,  $par(q, p) = 0$  if and only if  $par(k, q) = 1$ ; then A must be odd. Besides,  $B \ge 0$  implies from (12)

$$
(14) \t\t\t A+3 \ge 6g^*.
$$

(a) If  $k \equiv 0 \mod 3$ , then  $A \equiv 0 \mod 3$ . Since  $A \ge -3$  must be also odd, we see that the smallest value of A is  $A = -3$ . Now, from (14) we have  $g^* = 0$ ,  $B=0, k=3k^*, k_1=k^*, k_3=0, r=g+2$ . So, since  $k_3+r\geq 2$ , by Lemma 9 we conclude  $q_0 = \frac{1}{3}(k-3)$ .

(b) If  $k \equiv 1 \mod 3$  then  $A \equiv 2 \mod 3$ . Since  $A \ge -3$  must be also odd, we see that the smallest value of A is  $A = -1$ . Now, from (14),  $g^* = 0$  and so  $B = 2, k_3 = 1, r = g + 1$ . From Lemma 9,  $q_0 = \frac{1}{3}(k - 1)$ .

(c) If  $k \equiv 2 \mod 3$  then  $A \equiv 1 \mod 3$ . In this case the smallest value of A is  $A = 1$ , and then  $g^* = 0$ ,  $B = 4$ ,  $k_3 = 2$ ,  $r = g$ . Again from Lemma 9 we obtain  $q_0 = \frac{1}{3}(k+1)$ .

CASE 2: If  $\Gamma$  is non-orientable and  $par(q, p) = 0$  the study splits into:

 $(2.1)$   $par(q, k) = 0 \Longleftrightarrow q$  is odd.

(2.2)  $par(q, k) = 1 \Longleftrightarrow q$  is even.

(2.1) If g is odd, then  $par(q, k) = 0$  if and only if A is even. Furthermore, the condition  $B \ge 0$  is equivalent to  $A + 3 \ge 3g^*$ ; then  $A \ge 0$ .

(a) If  $k \equiv 0 \mod 3$  then  $A = 0$ ,  $g^* = 1$ ,  $k_3 = 0$  and  $r = \frac{1}{2}(g+1)$ . Since  $g \ge 1$ then  $r \geq 1$ , so we can apply Lemma 9 to conclude  $q_0 = k/3$ .

(b) If  $k \equiv 1 \mod 3$  then  $A = 2$ . Here  $B \ge 0$  gives  $g^* = 1$  and so  $k_3 = 1$  and  $r = \frac{1}{2}(g-1)$ . Again, from Lemma 9 we have  $q_0 = \frac{1}{3}(k+2)$ .

(c) If  $k \equiv 2 \mod 3$  then  $A = 4$ .  $B \ge 0$  is satisfied if and only if  $g^* = 1$ . In these conditions  $k_3 = 2$  and  $r = \frac{1}{2}(g - 3) \geq -1$ . Now Lemma 9 asserts  $q_0 = \frac{1}{3}(k+4)$ .

(2.2) If g is even, then  $par(q, k) = 1$  if and only if A is odd. Besides, as  $g^*$ must be even then  $g^* \geq 2$ , so  $B \geq 0$  if and only if  $A \geq 3$ . There are no more conditions to be satisfied by  $\Gamma^*$ , then:

- (a) If  $k \equiv 0 \mod 3$ , then  $A = 3$  and  $q_0 = \frac{1}{3}(k+3)$ .
- (b) If  $k \equiv 1 \mod 3$ ,  $A = 5$  and  $q_0 = \frac{1}{3}(k + 5)$ .
- (c) If  $k \equiv 2 \mod 3$ ,  $A = 7$  and  $q_0 = \frac{1}{3}(k+7)$ .

Now, we are going to study the non-admissible values of  $q$ . To do it let us consider  $q = \frac{1}{3}(k + A) + 2l$ . From (9), (12) and (13) we obtain

(15) 
$$
k_3 + r = \frac{\eta g - 3\eta g^* + 4}{2}.
$$

If  $g^* = 0$  then  $k_3+r = g+2 \geq 2$ . If  $g^* = 1$  and  $\Gamma^*$  is non-orientable then  $k_3 + r = \frac{1}{2}(g + 1) \ge 1$ . So, from Lemma 9, the non-admissible cases are those for which  $\Gamma^*$  is orientable and  $k_3 + r = 1$ , that is  $g^* = \frac{1}{3}(g + 1)$ . In particular,  $g \equiv 2 \mod 3$ . If  $r = 1$ , then  $q = p/3$ ; for this value we have that  $k_3$  is necessarily equal to 0 if and only if  $k = 0$ . If  $r = 0$ , then  $q = \frac{1}{3}(p+2)$ ; in this case  $k_3$  is necessarily equal to 1 if and only if  $k = 1$ .

As a consequence of the above Theorem we obtain the signatures of all q-trigonal groups

PROPOSITION 11: Let  $X = \mathcal{D}/\Gamma$  be a Klein surface of algebraic genus  $p > 2$ , k *boundary components and topological genus g. For each*  $q \in Q_p$  *the q-trigonal groups have the following signatures:* 

$$
(g^*, \pm, [3^{(p+2-3q)/2}], \{(-)^{q+1-\eta g^*}\})
$$

*for each g\*, 0*  $\leq g^* \leq (3q - k + 3)/3\eta$ *, where sign(* $\Gamma$ *)* = sign( $\Gamma^*$ ) and, if sign( $\Gamma$ ) = " - ", then  $par(g, g^*) = 0$ .

*Proof:* The number of periods in  $\Gamma^*$  is obtained from (5). The number of boundary components,  $k^*$ , comes from the fact that the algebraic genus of  $\Gamma^*$  is  $q = \eta g^* + k^* - 1$ . Now, let us consider again the areas relation

$$
\eta g + k + 1 = 3\eta g^* + 3k^* - 3 + 2r.
$$

Since  $3k^* - k = 2k_3$ , we have

$$
3\eta g^* + 3k^* = \eta g + 4 - 2r,
$$

so

$$
3\eta g^* + 2k_3 = \eta g + 4 - 2\left(\frac{p+2-3q}{2}\right) = 3q - k + 3.
$$

But since  $k_3 \ge 0$ , then  $g^* \le (3q - k + 3)/3\eta$ .

Let us denote by  $\mathcal{K}_{q,k}^+$  (resp.  $\mathcal{K}_{q,k}^-$ ) the family of orientable (resp. non-orientable) Klein surfaces with topological genus g and  $k > 0$  boundary components. We may ask for what values  $(g, k, +)$  or  $(g, k, -)$  there exist admissible values. The answer is obtained as a Corollary to Theorem I0.

COROLLARY 12: *The families for which there* are *no admissible value q* are

 ${\cal K}^-_{1,3k'+2}$ ;  ${\cal K}^-_{2,3k'+1}$ ;  ${\cal K}^-_{2,3k'+2}$ ;  ${\cal K}^-_{4,3k'+2}$ .

*Proof.* As we have seen in the proof of Theorem 10, the restrictions in the topological type of the surfaces, to be  $q$ -trigonal, appear in the non-orientable case. Those restrictions come from the number of proper periods,  $r = \frac{1}{2}(g+1-A)$ , in  $\Gamma^*$ , that is, the number of fixed points of a *q*-trigonal automorphism.

If g is odd and  $k \equiv 2 \mod 3$ , then  $r = \frac{1}{2}(g - 3)$ , so  $g \ge 3$ .

If g is even and  $k \equiv 0,1$  or 2, then  $A=3,5$  or 7, respectively. So  $g \geq 4$  if  $k \equiv 1 \mod 3$  and  $g \ge 6$  if  $k \equiv 2 \mod 3$ .

It follows that in every family  $\mathcal{K}_{g,k}^+$ ,  $k > 0$  there are q-trigonal surfaces. The topological types for which there exists a unique admissible q (and so  $q = q_0$ ) are given in the following

COROLLARY 13: *There exists a unique q if and only if g and k appear in the following table:* 

	Orientable case	Non-orientable case
$k \equiv 0 \mod 3 \mid q = 0, 2$		$q=1,2,3,4,6$
$k \equiv 1 \mod 3 \mid g = 0, 1, 2$		$g=1,3,4,5,6,8$
$k \equiv 2 \mod 3 \mid g = 0, 1, 2$		$q = 3, 5, 6, 7, 8, 10$

*Proof:* We need to check the cases for which the cardinality of  $Q_p$  equals 1, that is  $Q_p = \{q_0\}$ . This is equivalent to  $q_0 + 2 > q_{\text{max}}$ , where  $q_{\text{max}} \leq q_1$  is the maximal admissible value. Now, the result follows by looking at the Table of Theorem 10. **|** 

If  $q = 0$ , as an immediate consequence we obtain the following result which appears in [9] and [2] for bordered surfaces.

COROLLARY 14: Let *X be a cyclic trigonal Klein surface. Then* the *algebraic genus*  $p \geq 2$  *of X is even and either:* 

(i) *X is orientable with one* or *three boundary components, or* 

(ii) *X is non-orientable without a boundary.* 

*Proof:* From  $k-3 \leq 3q = 0$  we obtain  $k = 1,2,3$ . Because  $par(p,q) = 0$  the algebraic genus p must be even and then k must be odd; it is  $k = 1,3$ . Moreover,  $q = 0$  implies that  $X^*$  is orientable and so X is too.

Another easy result, as a consequence of Theorem 10 and Corollary 13, is

COROLLARY 15: The family  $K_{0,k}^+$  (planar surfaces) contains q-trigonal surfaces *for every k*  $\geq 3$  where *q is unique and equal to*  $\frac{1}{3}(k-3), \frac{1}{3}(k-1)$ , or  $\frac{1}{3}(k+1)$ *according to whether*  $k \equiv 0, 1$  *or 2 mod 3, respectively.* 

In Table 1 every topological type of bordered Klein surfaces with algebraic genus  $p < 10$  appears. The middle column covers the orientable case and the right one the non-orientable case. For each topological type the admissible values of  $q$  are given.

Now let us suppose that p and q are given where  $q \in Q_p$ . We look for the bounds for the number of boundary components of a bordered Klein surface of algebraic genus  $p$  and  $q$ -trigonal. We have the following

PROPOSITION 16: *In* the *above conditions the number of boundary components k is* 

(i) *Orientable* case:

*If q is even:*  $k = 1, 3, 5, \ldots, \min\{3q + 3, p + 1\}.$ *If q is odd:*  $k = 2, 4, 6, \ldots, \min\{3q + 3, p + 1\}.$ 

(ii) *Non-orientable case: If par(k, p)* = 0,  $k \leq \min\{p, 3q\}.$ *If*  $par(k, p) = 1, k \leq min\{p-1, 3q-3\}.$ 

*Proof:* From (10) we have  $\frac{1}{3}(k + A) \leq q$ , where  $A \geq -3$  depends on g and k.

(i) If X is orientable A attains the lower bound, so  $k \leq 3q + 3$ . On the other hand, since  $k = p + 1 - 2g$ , it follows that  $k \leq \min\{3q + 3, p + 1\}$  and  $par(k, p) = par(k, q) = 1.$ 

(ii) Non-orientable case. If  $par(k,q) = 0$ , from Theorem 10, Case 2.1, the smallest value of A is 0 and so  $k \leq 3q$ . If  $par(k, q) = 1$ , from Theorem 10, Case 2.2,  $A \geq 3$  and then  $k \leq 3q-3$ . In both cases  $k = p+1-g$ . Since  $g \geq 1$  then  $k \leq p$ , but if  $par(k, p) = 1$  then k must be different from p.

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Let us consider the family of 1-trigonal surfaces. Because  $par(p, q) = 0$ , p must be odd. Since  $3 \cdot 1 \le p+2$ , it follows that for each p odd there exist 1-trigonal Klein surfaces. From the last Proposition we have the following Corollary.



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8	9 0	$\sqrt{2}$	1	8	
	$\mathbf{1}$ 7	$\boldsymbol{2}$	$\boldsymbol{2}$	7	
	$\boldsymbol{2}$ 5	$\sqrt{2}$	3	$\boldsymbol{6}$	$\boldsymbol{2}$
	$\bf 3$ $\boldsymbol{3}$	0, 2	$\boldsymbol{4}$	5	
	$\boldsymbol{4}$ $\mathbf 1$	0,2	$\overline{5}$	$\overline{\mathbf{4}}$	$\boldsymbol{2}$
			6	$\boldsymbol{3}$	$\boldsymbol{2}$
			$\overline{7}$	$\boldsymbol{2}$	$\boldsymbol{2}$
			8	$\mathbf{1}$	$\sqrt{2}$
$\boldsymbol{9}$	010	$\boldsymbol{3}$	1	9	$\boldsymbol{3}$
	$\,$ 8 $\,$ $\mathbf{1}$	3	$\boldsymbol{2}$	8	
	$\boldsymbol{6}$ $\boldsymbol{2}$	1,3	$\sqrt{3}$	7	3
	$\boldsymbol{3}$ $\overline{\mathbf{4}}$	1,3	$\boldsymbol{4}$	6	3
	$\boldsymbol{4}$ $\overline{2}$	1,3	5	$\overline{5}$	$\boldsymbol{3}$
			$\boldsymbol{6}$	4	3
			7	3	1,3
			8	$\,2$	$\sqrt{3}$
			$\boldsymbol{9}$	$\mathbf{1}$	1,3

COROLLARY 17: *Let X be a bordered 1-trigonal Klein surface. Then X* has *odd algebraic* genus. *Moreover, an orientable surface X* has 2, 4 or 6 *boundary components* and *a non-orientable X* has 1 or 3.

*Comments:* Three classes of interesting problems to be studied on q-trigonal surfaces arise.

The first one is to find the group of automorphisms of these surfaces, for each family  $\mathcal{K}_{q,k}^{\pm}$  and each q previously fixed.

The second one deals with geometrical conditions on fundamental regions of surface NEC groups. To be more precise, let  $X_1$  and  $X_2$  be Klein surfaces with the same topological type and the same orientability character, and let us suppose  $X_1$ is  $q_1$ -trigonal and  $X_2$  is  $q_2$ -trigonal,  $q_1 \neq q_2$ . There exist surface NEC groups  $\Gamma_1$ and  $\Gamma_2$  such that  $X_i = \mathcal{D}/\Gamma_i$ . These groups have the same signature and "similar" canonical fundamental regions  $R_1$  and  $R_2$ . What geometrical conditions must  $R_1$ and  $R_2$  satisfy in order to reflect the different  $q_i$ -trigonality cases? In general, the problem may be too difficult. From Table 1, we see that the first topological type with two different values for q is  $(1, 3, +)$ , being  $q = 0$  or 2. We think that the study of this particular family of surfaces may throw light on the general problem.

The third problem is related to the previous one. For  $q \neq 0$  the quotient

 $X/ \langle \phi \rangle$  can have different topological types. We again think that the geometrical study of the fundamental regions would allow one to distinguish such different quotients.

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