# q-TRIGONAL KLEIN SURFACES

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#### ABSTRACT

In this paper q-trigonal Klein surfaces are introduced in a similar way to that of q-hyperelliptic surfaces. They are characterized by means of non-Euclidean crystallographic groups (NEC groups in short). As a consequence of this characterization, given a family of Klein surfaces (orientable or not) with topological genus g and k boundary components the admissible values for q are calculated. In particular, the families for which there is no admissible q or families with unique q are obtained.

### 1. Introduction

A Klein surface X is a surface equipped with a dianalytic structure. The modern study of Klein surfaces started with [1]. There is a Uniformization Theorem similar to that of Poincaré and Kobe for Riemann surfaces. A Klein surface X is the quotient  $\mathcal{D}/\Gamma$ , where  $\mathcal{D}$  is the hyperbolic plane and  $\Gamma$  is a surface NEC group.

In the last three decades the study of the automorphism groups of Klein surfaces has been an important research field. A reference book about Klein surfaces and NEC groups is [6] with a long list of references. Particular families of Klein surfaces have been studied very much, for example hyperelliptic surfaces.

A Klein surface X is said to be q-hyperelliptic if and only if it admits an automorphism of order two, such that the quotient  $X/\langle \phi \rangle$  has algebraic genus

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q. When q = 0 the surface is said to be hyperelliptic and its characterization, by means of NEC groups, is given in [4]. The case q = 1 corresponds to **elliptic-hyperelliptic** surfaces [5]. The general case, q, is studied in [3] for planar surfaces and in [7] for orientable surfaces of genus 1.

A Klein surface X is said to be **cyclic trigonal** if and only if X admits an automorphism  $\phi$  of order three such that  $X/\langle \phi \rangle$  has algebraic genus 0. Cyclic trigonal Klein surfaces and their automorphism groups have been studied in [2].

In this work we introduce q-trigonal Klein surfaces. Such a surface X admits an automorphism  $\phi$  of order three, such that  $X/\langle \phi \rangle$  has algebraic genus q. Let us denote by  $\mathcal{K}_{g,k}^{\pm}$  the family of Klein surfaces of topological genus g, k boundary components, orientable (+) or not (-). For each family we characterize in Section 3 the q-trigonality by means of NEC groups and we calculate the admissible values of q. As a consequence of this characterization we answer the following questions: what families do not contain any q-trigonal surface and which ones admit a unique admissible value q?

In the next Section we give necessary preliminaries about NEC groups and Klein surfaces.

### 2. Preliminaries

An NEC group  $\Gamma$  is a discrete subgroup of isometries of the hyperbolic plane  $\mathcal{D}$  (including reversing-orientation isometries) with compact quotient  $\mathcal{D}/\Gamma$  [13]. The signature of  $\Gamma$  is the following symbol and it determines its algebraic structure [10]:

(1) 
$$\sigma(\Gamma): (g; \pm; [m_1, \ldots, m_r], \{(n_{1,1}, \ldots, n_{1,s_1}), \ldots, (n_{k,1}, \ldots, n_{k,s_k})\}),$$

where  $g, k \ge 0$ ,  $m_i, n_{i,j} \ge 2$  and every number is an integer. The quotient  $\mathcal{D}/\Gamma$  has topological genus g and k boundary components. The brackets $(n_{i,1}, \ldots, n_{i,s_i})$  are called **cycle-periods** and the numbers  $m_i$  and  $n_{i,j}$  are called **proper periods** and **link periods**, respectively. If r = 0, k = 0 or  $s_i = 0$ , we write in each respective case  $[-], \{-\}, (-)$ . Also, we write  $m_i^t, n_{i,j}^t$  or  $(-)^t$  when a period or a cycle-period is repeated t times.

The algebraic genus of  $\Gamma$  is  $p = \eta g + k - 1$ , where  $\eta = 2$  or 1 according to whether the sign in  $\sigma$  is '+' or '-'. The area of  $\Gamma$  is the area of any one fundamental region of  $\Gamma$ . It is denoted by  $|\Gamma|$  and it satisfies

$$|\Gamma| = 2\pi \Big( \eta g + k - 2 + \sum_{i=1}^{r} (1 - 1/m_i) + \frac{1}{2} \sum_{i=1}^{k} \sum_{j=1}^{s_i} (1 - 1/n_{i,j}) \Big).$$

An NEC group  $\Gamma$  with signature as (1) exists if and only if  $|\Gamma| > 0$  [14].

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Let  $\Gamma$  be an NEC group with signature as (1).  $\Gamma$  is generated by  $\{x_i\}_{i=1,...,k}$ elliptic transformations,  $\{e_i\}_{i=1,...,k}$  hyperbolic transformations,  $\{c_{i,j}\}_{\substack{i=1,...,k\\j=0,1,...,s_i}}$ reflections and  $\{a_i, b_i\}_{i=1,...,g}$  hyperbolic transformations (if the sign is '+') or  $\{d_i\}_{i=1,...,g}$  glide reflections (if the sign is '-'). The generators satisfy the following relations:

$$\begin{aligned} x_i^{m_i} &= 1, & i = 1, \dots, r, \\ c_{i,j-1}^2 &= c_{i,j}^2 = (c_{i,j-1}c_{i,j})^{n_{i,j}} = 1, & i = 1, \dots, k, \ j = 1, \dots, s_i \\ e_i^{-1}c_{i,0}e_ic_{i,s_i} &= 1, & i = 1, \dots, k, \\ \prod_{i=1}^r x_i \prod_{i=1}^k e_i \prod_{i=1}^g [a_ib_i] &= 1 & \text{if the sign is `+',} \end{aligned}$$

or

$$\prod_{i=1}^{r} x_i \prod_{i=1}^{k} e_i \prod_{i=1}^{g} d_i^2 = 1, \qquad \text{if the sign is `-',}$$

where  $[a_i b_i]$  denotes the commutator  $a_i b_i a_i^{-1} b_i^{-1}$ .

An NEC group with sign '+' in the signature and k = 0 (hence  $g \ge 2$ ) is a Fuchsian group. An NEC group which is not a Fuchsian group is called a **proper** NEC group. The subgroup of all orientation preserving elements of a proper NEC group  $\Gamma$  is called the **canonical Fuchsian group** of  $\Gamma$  and denoted by  $\Gamma^+$ .

Let X be a Klein surface of topological genus g and k boundary components. Then by [12] there exists an NEC group  $\Gamma$  with signature

(2) 
$$(g; \pm; [-], \{(-)^k\}),$$

such that  $X = \mathcal{D}/\Gamma$ . In that case  $\Gamma$  is said to be a **surface** NEC group.

A Klein surface  $X = \mathcal{D}/\Gamma$  has a canonical double cover which is the Riemann surface  $X^+ = \mathcal{D}/\Gamma^+$ , whose topological genus is p, the algebraic genus of X.

If k = 0 and sign '+', X is a classical Riemann surface; and if sign '-', X is a non-orientable Riemann surface.

G is a group of automorphisms of X with order N, if and only if there exists an NEC group  $\Lambda$  with  $\Gamma \triangleleft_N \Lambda$  such that  $G = \Lambda/\Gamma$  [11]. The automorphism group of X, Aut(X), is the quotient  $N_{\mathcal{G}}(\Gamma)/\Gamma$ , where the group  $N_{\mathcal{G}}(\Gamma)$  denotes the normalizer of  $\Gamma$  in the group  $\mathcal{G}$  of isometries of  $\mathcal{D}$ .

A set of positive integers  $\{n_1, n_2, \ldots, n_t\}$  satisfies the elimination property if

$$lcm(n_1,\ldots,\widehat{n}_i,\ldots,n_t) = lcm(n_1,\ldots,n_t),$$

for each i = 1, ..., t. Let  $\sigma$  be an NEC signature as (2) and N be an odd positive integer. Given another NEC signature  $\tau$ 

(3) 
$$(g^*; \pm; [m_1, \ldots, m_r], \{(-)^{k^*}\}),$$

we say that  $(\sigma, \tau)$  is an *N*-pair if there exist an NEC group  $\Lambda$  with signature  $\tau$  and an epimorphism  $\theta: \Lambda \to \mathbb{Z}_N$  whose kernel is an NEC group  $\Gamma$  with signature  $\sigma$ . We will need later the following result [6, Th. 3.1.2 and Th. 3.1.3].

THEOREM 1: The pair  $(\sigma, \tau)$  is an N-pair if and only if:

- (1) For each i = 1, ..., r,  $m_i$  divides N, and  $sign(\sigma) = sign(\tau)$ .
- (2)  $|\Gamma| = N|\Lambda|$ .
- (3) There exist positive divisors  $l_1, \ldots, l_k$  of N such that (3.1)  $k = \sum_{j=1}^{k^*} N/l_j$ .
  - (3.2) In the case '+' the set  $\{m_1, \ldots, m_r, l_1, \ldots, l_k\}$  has the elimination property.

(4) 
$$N = lcm(m_1, \ldots, m_r, l_1, \ldots, l_k)$$
 if  $g^* = 0$  (case '+') or  $g^* = 1$  (case '-').

#### 3. Characterization of the q-trigonality

Definition 2: (i) A Riemann (Klein) surface S of topological (algebraic) genus g(p) is called q-trigonal if and only if it admits an order three automorphism  $\phi$  such that the quotient  $S/ < \phi >$  has topological (algebraic) genus q.

(ii)  $\phi$  is called a q-trigonal automorphism of S.

The q-trigonality condition is expressed, by means of NEC groups, as follows:

PROPOSITION 3: A Klein surface  $X = \mathcal{D}/\Gamma$  of algebraic genus p is q-trigonal if and only if there exists an NEC group  $\Gamma^*$  of algebraic genus q such that  $\Gamma \triangleleft_3 \Gamma^*$ .

*Proof:* We only need consider the results about automorphism groups shown in the previous Section. By virtue of them, there exists a *q*-trigonal automorphism,  $\phi$ , of X if and only if there exists an NEC group Γ<sup>\*</sup> such that  $< \phi >= \Gamma^*/\Gamma$ . Furthermore, since  $X/<\phi>= D/\Gamma^*$  then the algebraic genus of Γ<sup>\*</sup> must be *q*.

COROLLARY 4: If a Klein surface  $X = \mathcal{D}/\Gamma$  is q-trigonal, then the canonical Riemann surface  $X^+ = \mathcal{D}/\Gamma^+$  is q-trigonal.

Proof: Let  $\phi$  be a q-trigonal automorphism of X. Then there exists an NEC group  $\Gamma^*$  of algebraic genus q such that  $\langle \phi \rangle = \Gamma^* / \Gamma$ . If we consider the quotient

of the canonical Fuchsian groups  $(\Gamma^*)^+/\Gamma^+ = \langle \phi^+ \rangle$ , we have  $\phi^+$  is a q-trigonal automorphism of  $X^+$ .

Definition 5: An NEC group  $\Gamma^*$ , in the above conditions, is called a *q*-trigonal group of X.

The following result was obtained in [8, Th. 1].

THEOREM 6: Let S be a q-trigonal Riemann surface of genus g. Let  $\phi, \psi$  be q-trigonal automorphisms of S. If g > 9q + 4 then  $\phi = \psi$  or  $\phi = \psi^{-1}$ .

COROLLARY 7: Let  $X = \mathcal{D}/\Gamma$  be a q-trigonal Klein surface of algebraic genus p. If p > 9q + 4 then  $\Gamma^*$ , the q-trigonal group of X, is unique.

Proof: Suppose  $\Gamma_1$  and  $\Gamma_2$  are q-trigonal groups of X. Then  $\Gamma_1^+/\Gamma^+ = \langle \phi \rangle$  and  $\Gamma_2^+/\Gamma^+ = \langle \psi \rangle$ , where  $\phi$  and  $\psi$  are q-trigonal automorphisms of the canonical Riemann surface  $X^+ = \mathcal{D}/\Gamma^+$ . The topological genus of  $X^+$  is p, so we can apply the preceding Theorem to obtain  $\langle \phi \rangle = \langle \psi \rangle$  and so  $\Gamma_1^+ = \Gamma_2^+$ .

Let  $h \in \Gamma$  be an orientation reversing element; then  $h \in \Gamma_1$  and  $h \in \Gamma_2$ . So

$$\Gamma_1 = \Gamma_1^+ \cup h\Gamma_1^+ = \Gamma_2^+ \cup h\Gamma_2^+ = \Gamma_2.$$

PROPOSITION 8: Let X be a q-trigonal Klein surface of algebraic genus  $p \ge 2$ and  $k \ge 0$  boundary components. Then  $k - 3 \le 3q \le p + 2$ , where p and q must have the same parity.

**Proof:** Let  $X = \mathcal{D}/\Gamma$  where  $\Gamma$  has signature (2). Because X is q-trigonal there exists an NEC group  $\Gamma^*$  with signature (3) such that  $|\Gamma| = 3|\Gamma^*|$ ,  $m_i = 3$  and  $q = \eta g^* + k^* - 1$ . From the relation between areas we obtain

$$(4) \qquad \qquad 3q+2r=p+2,$$

and hence

$$3q \le p+2.$$

Moreover, because

(5) 
$$r = \frac{p+2-3q}{2}$$

and r must be an integral number, we deduce that p and q have the same parity.

Let  $k_1$  be the number of boundary components such that  $\theta(e_i) = 1$ , and  $k_3$  be the number of boundary components such that  $\theta(e_i) = x$ , where  $\theta$  is the canonical

epimorphism  $\theta: \Gamma^* \to \mathbb{Z}_3 = \langle x: x^3 \rangle$ , with  $\ker(\theta) = \Gamma$ . Each one of the former gives three components, in  $\Gamma$  and each one of the latter gives one component, so that

$$k^* = k_1 + k_3, \quad k = 3k_1 + k_3;$$

then

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$$k \le 3k^*$$
  
=3q + 3 - 3 $\eta g^*$   
 $\le 3q + 3.$ 

Furthermore,  $k - k^* = 2k_1$ , and then k and  $k^*$  must have the same parity.

From now on  $\Gamma^*$  will denote an NEC group with signature

(6)  $(g^*, \pm, [3^r], \{(-)^{k^*}\}),$ 

where  $k^* = k_1 + k_3$  is defined as above,  $|\Gamma| = 3|\Gamma^*|$  and  $q = \eta g^* + k^* - 1$ .

LEMMA 9:  $\Gamma^*$  is a q-trigonal group of  $X = \mathcal{D}/\Gamma$  if and only if  $\Gamma$  and  $\Gamma^*$  have the same orientability and

- (i) if  $\Gamma$  is orientable then  $r + k_3 \neq 1$ ,
- (ii) if  $g^* = 0$  then  $r + k_3 \ge 2$ ,
- (iii) if  $\Gamma$  is non-orientable and  $g^* = 1$  then  $r + k_3 \ge 1$ .

Proof:  $\Gamma^*$  is a q-trigonal group of X if and only if the pair  $(\sigma, \sigma^*)$  of signatures of  $\Gamma$  and  $\Gamma^*$  is a 3-pair. By Theorem 1,  $\Gamma$  and  $\Gamma^*$  must have the same orientability. Furthermore, in the orientable case the only thing to check is that the set  $\{m_1, \ldots, m_r, l_1, \ldots, l_{k^*}\}$  has the elimination property. But, since  $m_i = 3, i = 1, \ldots, r; l_i = 1, i = 1, \ldots, k_1$ , and  $l_i = 3, i = k_1 + 1, \ldots, k^*$ , then a necessary and sufficient condition is  $r + k_3 \neq 1$ . Besides, in the case  $g^* = 0$ , the condition (4) in Theorem 1 is equivalent to  $r + k_3 \geq 2$ . In the non-orientable case the condition  $3 = lcm(m_1, \ldots, m_r, l_1, \ldots, l_{k^*})$  is equivalent to  $r + k_3 \geq 1$ .

From now on, given two integral numbers u, v we write par(u, v) = 0 or par(u, v) = 1, according to whether u and v have the same or different parity.

For each  $p \ge 2$  we denote by  $Q_p$  the set of **admissible** values q, that is, the numbers q such that there exists a q-trigonal Klein surface with algebraic genus p. The set  $Q_p$  is given in the following

THEOREM 10: The set of admissible values for each algebraic genus  $p \ge 2$  is

$$Q_p = \{q_i \in \mathbb{N} \cup \{0\} \mid q_0 \le q_i \le q_1 \text{ and } par(p, q_i) = 0\},$$

	$q_0$	$q_0$	<u>q_0</u>
$k \equiv 0 \mod 3$	$\max\{0, \frac{k-3}{3}\}$	$\frac{1}{3}(k+3)$	$\frac{k}{3}$
$k \equiv 1 \mod 3$	$rac{1}{3}(k-1)$	$\frac{1}{3}(k+5)$	$\frac{1}{3}(k+2)$
$k \equiv 2 \operatorname{mod} 3$	$\frac{1}{3}(k+1)$	$\frac{1}{3}(k+7)$	$\frac{1}{3}(k+4)$
	if sign +	if sign - and g even	if sign $-$ and $g$ odd

where  $q_0$  is given in the following table:

and 
$$q_1 = \begin{cases} \frac{1}{3}(p-1) & \text{if sign} + \text{ and } g \equiv 2 \mod 3, \ k = 0 \text{ or } 1, \\ \\ \frac{1}{3}(p+2) & \text{if otherwise.} \end{cases}$$

**Proof:** Let  $\Gamma$  be a surface NEC group with signature (2), algebraic genus  $p = \eta g + k - 1$  and  $\Gamma^*$  as in (6). The areas relation (4) can be written as

$$\eta g + k + 1 = 3\eta g^* + 3k^* - 3 + 2r;$$

then

(7) 
$$k = 3k^* - B$$
 where  $B = \eta g - 3\eta g^* + 4 - 2r$ .

As we saw in Proposition 8,  $k \leq 3k^*$  so  $B \geq 0$ . Thus  $g^*$  satisfies the condition

(8) 
$$g^* \le \frac{\eta g + 4 - 2r}{3\eta}$$

Let  $k_1$  and  $k_3$  be as in Proposition 8. Then

(9) 
$$k_1 = \frac{k - k^*}{2} = k^* - \frac{B}{2}, \quad k_3 = \frac{B}{2}.$$

So B must be an even integer. From (7) we have B is even if and only if  $\eta g - 3\eta g^*$  is even. That always occurs if  $\eta = 2$  (the orientable case), but if  $\eta = 1$  (the non-orientable case) a necessary condition is that g and  $g^*$  have the same parity. By Proposition 8

$$\frac{k-3}{3} \le q \le \frac{1}{3}(p+2),$$

and since par(p,q) = 0 we can write

(10) 
$$q = \frac{1}{3}(k+A) + 2l, \quad 0 \le l \le \frac{\eta g + 1 - A}{6},$$

where  $A \ge -3$  is an integer which depends on k and the parity of g.

Let  $q = \frac{1}{3}(k+A) + 2l$  be an admissible value; then

(11) 
$$\frac{1}{3}(k+A) + 2l = \eta g^* + k^* - 1, k = 3\eta g^* + 3k^* - 3 - 6l - A.$$

From (7) and (11)

(12) 
$$B = A + 3 + 6l - 3\eta g^*,$$

and from (12) and (7) we obtain

(13) 
$$r = \frac{\eta g + 1 - A - 6l}{2}.$$

Our next aim is to find the smallest possible value  $q \in Q_p$ . For this, we see that for each possible value of  $A \ge -3$  the smallest value, denoted by  $q_A$ , is given for l = 0, and so  $q_A = \frac{1}{3}(k + A)$ . Now, we proceed to get  $q_0$ :

CASE 1: If  $\Gamma$  is orientable, par(q, p) = 0 if and only if par(k, q) = 1; then A must be odd. Besides,  $B \ge 0$  implies from (12)

(a) If  $k \equiv 0 \mod 3$ , then  $A \equiv 0 \mod 3$ . Since  $A \geq -3$  must be also odd, we see that the smallest value of A is A = -3. Now, from (14) we have  $g^* = 0$ ,  $B = 0, k = 3k^*, k_1 = k^*, k_3 = 0, r = g + 2$ . So, since  $k_3 + r \geq 2$ , by Lemma 9 we conclude  $q_0 = \frac{1}{3}(k-3)$ .

(b) If  $k \equiv 1 \mod 3$  then  $A \equiv 2 \mod 3$ . Since  $A \ge -3$  must be also odd, we see that the smallest value of A is A = -1. Now, from (14),  $g^* = 0$  and so  $B = 2, k_3 = 1, r = g + 1$ . From Lemma 9,  $q_0 = \frac{1}{3}(k-1)$ .

(c) If  $k \equiv 2 \mod 3$  then  $A \equiv 1 \mod 3$ . In this case the smallest value of A is A = 1, and then  $g^* = 0, B = 4, k_3 = 2, r = g$ . Again from Lemma 9 we obtain  $q_0 = \frac{1}{3}(k+1)$ .

CASE 2: If  $\Gamma$  is non-orientable and par(q, p) = 0 the study splits into:

(2.1)  $par(q,k) = 0 \iff g \text{ is odd.}$ 

(2.2)  $par(q,k) = 1 \iff g$  is even.

(2.1) If g is odd, then par(q, k) = 0 if and only if A is even. Furthermore, the condition  $B \ge 0$  is equivalent to  $A + 3 \ge 3g^*$ ; then  $A \ge 0$ .

(a) If  $k \equiv 0 \mod 3$  then A = 0,  $g^* = 1$ ,  $k_3 = 0$  and  $r = \frac{1}{2}(g+1)$ . Since  $g \ge 1$  then  $r \ge 1$ , so we can apply Lemma 9 to conclude  $q_0 = k/3$ .

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(b) If  $k \equiv 1 \mod 3$  then A = 2. Here  $B \ge 0$  gives  $g^* = 1$  and so  $k_3 = 1$  and  $r = \frac{1}{2}(g-1)$ . Again, from Lemma 9 we have  $q_0 = \frac{1}{3}(k+2)$ .

(c) If  $k \equiv 2 \mod 3$  then A = 4.  $B \ge 0$  is satisfied if and only if  $g^* = 1$ . In these conditions  $k_3 = 2$  and  $r = \frac{1}{2}(g-3) \ge -1$ . Now Lemma 9 asserts  $q_0 = \frac{1}{3}(k+4)$ .

(2.2) If g is even, then par(q,k) = 1 if and only if A is odd. Besides, as  $g^*$  must be even then  $g^* \ge 2$ , so  $B \ge 0$  if and only if  $A \ge 3$ . There are no more conditions to be satisfied by  $\Gamma^*$ , then:

- (a) If  $k \equiv 0 \mod 3$ , then A = 3 and  $q_0 = \frac{1}{3}(k+3)$ .
- (b) If  $k \equiv 1 \mod 3$ , A = 5 and  $q_0 = \frac{1}{3}(k+5)$ .
- (c) If  $k \equiv 2 \mod 3$ , A = 7 and  $q_0 = \frac{1}{3}(k+7)$ .

Now, we are going to study the non-admissible values of q. To do it let us consider  $q = \frac{1}{3}(k+A) + 2l$ . From (9), (12) and (13) we obtain

(15) 
$$k_3 + r = \frac{\eta g - 3\eta g^* + 4}{2}.$$

If  $g^* = 0$  then  $k_3 + r = g + 2 \ge 2$ . If  $g^* = 1$  and  $\Gamma^*$  is non-orientable then  $k_3 + r = \frac{1}{2}(g+1) \ge 1$ . So, from Lemma 9, the non-admissible cases are those for which  $\Gamma^*$  is orientable and  $k_3 + r = 1$ , that is  $g^* = \frac{1}{3}(g+1)$ . In particular,  $g \equiv 2 \mod 3$ . If r = 1, then q = p/3; for this value we have that  $k_3$  is necessarily equal to 0 if and only if k = 0. If r = 0, then  $q = \frac{1}{3}(p+2)$ ; in this case  $k_3$  is necessarily equal to 1 if and only if k = 1.

As a consequence of the above Theorem we obtain the signatures of all q-trigonal groups

PROPOSITION 11: Let  $X = \mathcal{D}/\Gamma$  be a Klein surface of algebraic genus  $p \ge 2$ , k boundary components and topological genus g. For each  $q \in Q_p$  the q-trigonal groups have the following signatures:

$$(g^*, \pm, [3^{(p+2-3q)/2}], \{(-)^{q+1-\eta g^*}\})$$

for each  $g^*, 0 \le g^* \le (3q-k+3)/3\eta$ , where  $\operatorname{sign}(\Gamma) = \operatorname{sign}(\Gamma^*)$  and, if  $\operatorname{sign}(\Gamma) =$ "-", then  $\operatorname{par}(g, g^*) = 0$ .

**Proof:** The number of periods in  $\Gamma^*$  is obtained from (5). The number of boundary components,  $k^*$ , comes from the fact that the algebraic genus of  $\Gamma^*$  is  $q = \eta g^* + k^* - 1$ . Now, let us consider again the areas relation

$$\eta g + k + 1 = 3\eta g^* + 3k^* - 3 + 2r.$$

Since  $3k^* - k = 2k_3$ , we have

$$3\eta g^* + 3k^* = \eta g + 4 - 2r,$$

 $\mathbf{so}$ 

$$3\eta g^* + 2k_3 = \eta g + 4 - 2\left(\frac{p+2-3q}{2}\right) = 3q-k+3$$

But since  $k_3 \ge 0$ , then  $g^* \le (3q - k + 3)/3\eta$ .

Let us denote by  $\mathcal{K}_{g,k}^+$  (resp.  $\mathcal{K}_{g,k}^-$ ) the family of orientable (resp. non-orientable) Klein surfaces with topological genus g and k > 0 boundary components. We may ask for what values (g, k, +) or (g, k, -) there exist admissible values. The answer is obtained as a Corollary to Theorem 10.

COROLLARY 12: The families for which there are no admissible value q are

 $\mathcal{K}^-_{1,3k'+2}; \quad \mathcal{K}^-_{2,3k'+1}; \quad \mathcal{K}^-_{2,3k'+2}; \quad \mathcal{K}^-_{4,3k'+2}.$ 

**Proof:** As we have seen in the proof of Theorem 10, the restrictions in the topological type of the surfaces, to be q-trigonal, appear in the non-orientable case. Those restrictions come from the number of proper periods,  $r = \frac{1}{2}(g+1-A)$ , in  $\Gamma^*$ , that is, the number of fixed points of a q-trigonal automorphism.

If g is odd and  $k \equiv 2 \mod 3$ , then  $r = \frac{1}{2}(g-3)$ , so  $g \ge 3$ .

If g is even and  $k \equiv 0, 1$  or 2, then A = 3, 5 or 7, respectively. So  $g \ge 4$  if  $k \equiv 1 \mod 3$  and  $g \ge 6$  if  $k \equiv 2 \mod 3$ .

It follows that in every family  $\mathcal{K}_{g,k}^+$ , k > 0 there are q-trigonal surfaces. The topological types for which there exists a unique admissible q (and so  $q = q_0$ ) are given in the following

COROLLARY 13: There exists a unique q if and only if g and k appear in the following table:

	Orientable case	Non-orientable case
$k\equiv 0 \operatorname{mod} 3$	g = 0, 2	g = 1, 2, 3, 4, 6
$k \equiv 1 \operatorname{mod} 3$	g=0,1,2	g = 1, 3, 4, 5, 6, 8
$k \equiv 2 \mod 3$	g = 0, 1, 2	g=3,5,6,7,8,10

**Proof:** We need to check the cases for which the cardinality of  $Q_p$  equals 1, that is  $Q_p = \{q_0\}$ . This is equivalent to  $q_0 + 2 > q_{\max}$ , where  $q_{\max} \leq q_1$  is the maximal admissible value. Now, the result follows by looking at the Table of Theorem 10.

If q = 0, as an immediate consequence we obtain the following result which appears in [9] and [2] for bordered surfaces.

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COROLLARY 14: Let X be a cyclic trigonal Klein surface. Then the algebraic genus  $p \ge 2$  of X is even and either:

(i) X is orientable with one or three boundary components, or

(ii) X is non-orientable without a boundary.

**Proof:** From  $k-3 \leq 3q = 0$  we obtain k = 1, 2, 3. Because par(p,q) = 0 the algebraic genus p must be even and then k must be odd; it is k = 1, 3. Moreover, q = 0 implies that  $X^*$  is orientable and so X is too.

Another easy result, as a consequence of Theorem 10 and Corollary 13, is

COROLLARY 15: The family  $\mathcal{K}_{0,k}^+$  (planar surfaces) contains q-trigonal surfaces for every  $k \geq 3$  where q is unique and equal to  $\frac{1}{3}(k-3), \frac{1}{3}(k-1)$ , or  $\frac{1}{3}(k+1)$ according to whether  $k \equiv 0, 1$  or  $2 \mod 3$ , respectively.

In Table 1 every topological type of bordered Klein surfaces with algebraic genus p < 10 appears. The middle column covers the orientable case and the right one the non-orientable case. For each topological type the admissible values of q are given.

Now let us suppose that p and q are given where  $q \in Q_p$ . We look for the bounds for the number of boundary components of a bordered Klein surface of algebraic genus p and q-trigonal. We have the following

**PROPOSITION 16:** In the above conditions the number of boundary components k is

(i) Orientable case:

If q is even:  $k = 1, 3, 5, ..., \min\{3q + 3, p + 1\}$ . If q is odd:  $k = 2, 4, 6, ..., \min\{3q + 3, p + 1\}$ .

 $n q n b odd. n = 2, 4, 0, \dots, n m [0q + 0, p]$ 

(ii) Non-orientable case: If  $par(k, p) = 0, k \le \min\{p, 3q\}$ . If  $par(k, p) = 1, k \le \min\{p - 1, 3q - 3\}$ .

**Proof:** From (10) we have  $\frac{1}{3}(k+A) \leq q$ , where  $A \geq -3$  depends on g and k.

(i) If X is orientable A attains the lower bound, so  $k \leq 3q + 3$ . On the other hand, since k = p + 1 - 2g, it follows that  $k \leq \min\{3q + 3, p + 1\}$  and par(k, p) = par(k, q) = 1.

(ii) Non-orientable case. If par(k,q) = 0, from Theorem 10, Case 2.1, the smallest value of A is 0 and so  $k \leq 3q$ . If par(k,q) = 1, from Theorem 10, Case 2.2,  $A \geq 3$  and then  $k \leq 3q - 3$ . In both cases k = p + 1 - g. Since  $g \geq 1$  then  $k \leq p$ , but if par(k,p) = 1 then k must be different from p.

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Let us consider the family of 1-trigonal surfaces. Because par(p,q) = 0, p must be odd. Since  $3 \cdot 1 \leq p + 2$ , it follows that for each p odd there exist 1-trigonal Klein surfaces. From the last Proposition we have the following Corollary.

Table 1							
p	g	k	q		g	k	$\overline{q}$
2	0	3	0		1	2	_
	1	1	0		<b>2</b>	1	_
3	0	4	1		1	3	1
	1	<b>2</b>	1		2	<b>2</b>	-
					3	1	1
	0	-					2
4	U	5	2		1	4	2
	1	3	0, 2		2	3	2
	2	1	0,2		3	2	2
					4	1	2
5	0	6	1		1	5	_
0	1	4	1		$\frac{1}{2}$	4	_
	2	2	1		3	3	1
	2	2	1		4	2	-
					5	1	1
					-	_	-
6	0	7	2		1	6	2
	1	<b>5</b>	<b>2</b>		2	<b>5</b>	_
	<b>2</b>	3	0, 2		3	4	2
	3	1	0,2		4	3	2
					5	2	2
					6	1	2
7	0	8	3		1	7	3
	1	6	1,3		2	6	3
	<b>2</b>	4	1,3		3	5	3
	3	2	1, 3		4	4	3
					5	3	1,3
					6	<b>2</b>	3
					7	1	1, 3

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8	09	2	1	8	_	
	$1 \ 7$	2	$^{2}$	7	_	
	$2 \ 5$	2	3	6	<b>2</b>	
	$3 \ 3$	0,2	4	5	-	
	$4 \ 1$	0,2	5	4	<b>2</b>	
			6	3	2	
			7	<b>2</b>	2	
			8	1	2	
Q	0.10	3	1	9	3	
Ū	1 8	3	2	8	_	
	$\begin{array}{ccc} 1 & 0 \\ 2 & 6 \end{array}$	1, 3	23	7	3	
	3 4	1, 3	4	6	3	
	4 2	1, 3	5	5	3	
			6	4	3	
			7	3	1, 3	
			8	<b>2</b>	3	
			9	1	1,3	

COROLLARY 17: Let X be a bordered 1-trigonal Klein surface. Then X has odd algebraic genus. Moreover, an orientable surface X has 2, 4 or 6 boundary components and a non-orientable X has 1 or 3.

*Comments:* Three classes of interesting problems to be studied on *q*-trigonal surfaces arise.

The first one is to find the group of automorphisms of these surfaces, for each family  $\mathcal{K}_{a,k}^{\pm}$  and each q previously fixed.

The second one deals with geometrical conditions on fundamental regions of surface NEC groups. To be more precise, let  $X_1$  and  $X_2$  be Klein surfaces with the same topological type and the same orientability character, and let us suppose  $X_1$ is  $q_1$ -trigonal and  $X_2$  is  $q_2$ -trigonal,  $q_1 \neq q_2$ . There exist surface NEC groups  $\Gamma_1$ and  $\Gamma_2$  such that  $X_i = \mathcal{D}/\Gamma_i$ . These groups have the same signature and "similar" canonical fundamental regions  $R_1$  and  $R_2$ . What geometrical conditions must  $R_1$ and  $R_2$  satisfy in order to reflect the different  $q_i$ -trigonality cases? In general, the problem may be too difficult. From Table 1, we see that the first topological type with two different values for q is (1, 3, +), being q = 0 or 2. We think that the study of this particular family of surfaces may throw light on the general problem.

The third problem is related to the previous one. For  $q \neq 0$  the quotient

 $X/<\phi>$  can have different topological types. We again think that the geometrical study of the fundamental regions would allow one to distinguish such different quotients.

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